



**SUPERVISING IN AN
INCREASINGLY
FRAGILE WORLD**

**Jul. 29 -
Aug. 2,
2024**

*Virtual edition
[via Zoom]*

Program Agenda



Monday, July 22, 2024 – Networking session and Introduction to Leadership Skills

**7:45 am –
8:00 am**

Registration

**8:00 am –
8:15 am**

Session 1: Introduction to Toronto Centre and Orientation to Virtual Program

Program Coordinator, Toronto Centre

- Virtual learning best practices
- Questions, chats, and polls
- Introduction to the TC Programs Learning Management System
- Breakout rooms activities

**8:15 am –
9:30 am**

Session 2: Networking – Participants’ Roundtable

Facilitator, Toronto Centre

- Introductions by name, position, authority, and country
- What is leadership? What skills does a leader need?

**9:30 am –
9:35 am**

Coffee Break

**9:35 am –
11:00 am**

Session 3: Leadership Skills: Action Planning Methodology

Richa Goyal, Program Director, Toronto Centre

Group Activity

TC will provide a problem statement and participants will assess whether the problem statement is concise and precise.

Leadership skills: Stakeholder Management

Richa Goyal, Program Director, Toronto Centre

Group Activity

TC will provide an action plan. Participants will identify two key stakeholders with the power to hinder or help with the action plan.

11:00 am

End of Session

Monday, July 29, 2024**8:00 am –
8:30 am****Action Planning Group Work 1**

Program Leaders work with assigned morning groups

**8:30 am –
8:35 am****Welcome and Opening Remarks**

Jean Lorrian, Advisory Board Chair, Securities sector, Toronto Centre

**8:35 am –
9:30 am****Session 1: Context for Change**

Richa Goyal, Program Director, Toronto Centre

Jean Lorrian, Advisory Board Chair, Securities sector, Toronto Centre

- Years of turmoil
- Changing economies
- Market impacts
- Financial crime
- Cyber risk
- Climate change risk, sustainability, and biodiversity loss
- SDGs – financial inclusion, gender equality, and food security

**9:30 am –
10:30 am****Session 2: Financial Crime in the Securities Sector**

Program Leader, Andrew Turrell

- Financial Crime involving the securities market
 - Fraud
 - Market abuse, market manipulation & insider dealing
 - Money laundering
 - Financial sanctions
- Emerging trends with crypto assets
- Perpetrators of financial crime: internal or external
- Supervision – on-site and off-site
- New technologies to assist in detection and enforcement – data analysis
- Interagency and cross-border collaboration

Monday, July 29, 2024

10:30 am – Coffee Break
10:45 am

10:45 am – Session 2 (cont'd): Financial Crime in the Securities Sector
12:00 pm *Program Leader, Andrew Turrell*

- Group activity: A case study on a financial crime incident and supervisory response/actions

12.00 pm – End of Day Evaluation
12:05 pm

12:05 pm – Action Planning Group Work 2
12:30 pm Program Leaders work with assigned afternoon groups

12:30 pm End of Day Evaluation

NOTE: Participants will work on their action plans with program leaders (PLs). A certain time slot is assigned before or after each day depending on time zones.

Tuesday, July 30, 2024

- 8:00 am – 8:30 am** **Action Planning Group Work 1**
- Program Leaders work with assigned morning groups
- 8:30 am – 8:45 am** **Ideas I Will Use**
- 8:45 am – 10:15 am** **Session 3: Financial Crime in the Securities Sector: Preventive Actions**
Program Leaders, Jean Lorrian and Andrew Turrell
- Governance, organisational structure, and measures
 - Investor protection and education
 - Impact on financial inclusion
- 10:15 am – 10:30 am** **Coffee Break**
- 10:30 am – 11:55 am** **Session 3 (cont'd): Financial Crime in the Securities Sector: Preventive Actions**
- Group activity on supervisory tools and assessment of governance, organisation structure, and measures (including operational risk and AML risk management) to mitigate financial crime risk
- 11:55 am – 12:00 pm** **End of Day Evaluation**
- 12:00 pm – 12:30 pm** **Action Planning Group Work 2**
- Program Leaders work with assigned afternoon groups
- 12:30 pm** **End of Day**

Wednesday, July 31, 2024

- 8:00 am – 8:30 am** **Action Planning Group Work 1**
- Program Leaders work with assigned morning groups
- 8:30 am – 8:40 am** *Babak Abbaszadeh, President and CEO, Toronto Centre*
Group Photograph
- 8:40 am – 8:55 am** **Ideas I Will Use**
- 8:55 am – 10:15 am** **Session 4: Cyber Risk**
Program Leader, Chetan Gor
- Cyber Risks and Financial EcoSystems
 - Cyber risk landscape in securities Market
 - Emerging frontiers (including Artificial Intelligence)
 - Supervision Approaches
 - Risk prioritized Regulations for Cyber Security
- 10:15 am – 10:30 am** **Coffee Break**
- 10:30 am – 11:55 am** **Session 4 (cont'd): Cyber Risk**
Program Leader, Chetan Gor
- Group Activity on a cyber risk incident in the securities sector.
- 11:55 am – 12:00 pm** **End of Day Evaluation**
- 12:00 pm – 12:30 pm** **Action Planning Group Work 2**
- Program Leaders work with assigned afternoon groups
- 12:30 pm** **End of Day**

Thursday, August 1, 2024

8:00 am – Action Planning Group Work 1

- 8:30 am**
- Program Leaders work with morning groups

8:30 am – Ideas I Will Use

8:45 am

8:45 am – Session 5a: Climate Change, Biodiversity Loss, and Sustainability: Financing a Sustainable Future
10:15 am

Program Leader, Alison Harwood

- Climate Finance As Risk Management
- Climate Finance Investment And Strategies
- Creating Strong Green Credibility
- Addressing Challenges To Market Growth

Group Activity: Understanding the critical challenges these issues raise and how financing can be used to create a more positive future.

10:15 am – Coffee Break

10:30 am

10:30 am – Session 5 b: Climate Change, Biodiversity Loss, and Sustainability: Improving Climate-Related Disclosure
11:55 am

Program Leader, Alison Harwood

- The Critical Importance of Climate-related Disclosure
- The New ISSB Standards
- Key Issues and Challenges
- Actions for Moving Forward

Group Activity: Understanding and implementing the ISSB Standards.

11:55 am – End of Day Evaluation

12:00 pm

12:00 pm – 12:30 pm Action Planning Group Work 2

- Program Leaders work with assigned afternoon groups

12:30 pm End of Day

Friday, August 2, 2024

- 8:30 am – 10:05 am** **Session 6: Action Plan Presentations by Participants**
- Participants will be divided into groups and each group will be assigned a Program Leader. In virtual breakout rooms, participants will present their action plans and receive feedback from the Program Leader and colleagues in their respective groups.
- 10:05 am – 10:15 am** **Coffee Break**
- 10:15 am – 11:45 am** **Session 6 (cont'd): Action Plan Presentations by Participants**
- 11:45 am – 12:45 pm** **Session 7: Panel Discussion**
- Guest speaker: Marianne B. Olson, Chair Committee 4 on Enforcement and Exchange of Information, International Organization of Securities Commission's*
- 12:45 pm – 1:00 pm** **End of Program Evaluation and Certificates**
- 1:00 pm** **Closing Ceremony**